

Continuity Properties of Chebyshev Centers*

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1. INTRODUCTION AND NOTATIONS

Let X be a Banach space, F a bounded closed subset of X , V a closed subset of X . A point $x \in V$ is said to be a relative Chebyshev center of F with respect to V if x is the center of the smallest closed ball with center in V containing F , i.e., if

$$x \in \{z \in V; \sup_{y \in F} \|z - y\| = \text{rad}_V(F)\}, \quad \text{where } \text{rad}_V(F) = \inf_{w \in V} \sup_{y \in F} \|w - y\|.$$

The number $\text{rad}_V(F)$ is called the relative Chebyshev radius of F with respect to V . We denote the set of all such Chebyshev centers by $\text{cent}_V(F)$. The question of the existence, unicity and stability of Chebyshev centers has been recently studied by several authors (cf., e.g., [8, 13, 14, 21-23]).

In this paper we study the continuity properties of cent_V . This is clearly a set-valued function from 2^X into 2^V (we assume 2^X to be equipped with the Hausdorff metric d). We show here that cent_V is an upper Hausdorff semi-continuous function if X is an arbitrary Banach space and V is a finite-dimensional closed convex subset of X , and if $X = l_1$ and V is a w^* -closed convex subset of X . We show further that cent_V is Hausdorff continuous on the subclass $\mathcal{K}(X)$ of 2^X of all compact subsets of X if X is a dual locally uniformly convex (l.u.c.) Banach space and V is a w^* -closed convex subset of X , and if X is a Lindenstrauss space and V is an M -ideal in X .

Let S be a compact Hausdorff space, $C(S, X)$ the space of all continuous functions on S with values in a Banach space X equipped with the norm of the uniform convergence. A subspace V of $C(S, X)$ is said to be a Stone-Weierstrass (SW-)subspace of $C(S, X)$ if there is a compact Hausdorff space T and a continuous surjection φ from S onto T such that

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$V = \{f \in C(S, X); f = g \circ \varphi \text{ for some } g \in C(T, X)\}$. Mazur (unpublished, cf., e.g., [19]) proved that such subspaces are proximal if $X = \mathbb{R}$ (a subspace G of a normed linear space X is called proximal if every $x \in X$ possesses a best approximation in G). Pelczynski [17] asked whether for a given Banach space X every SW-subspace of $C(S, X)$ is proximal. Olech [16] and Blatter [3] showed that this conjecture is true if X is a uniformly convex Banach space and a Lindenstrauss space, respectively (a Lindenstrauss space is a space whose dual is $L_1(\mu)$ for some measure μ). Lau [10] showed that for X uniformly convex this result remains true even if the assumption of the compactness of S and T is dropped. Here we give a contribution to this problem. By the application of our previous results we show that every SW-subspace with φ open is proximal if X is a dual l.u.c. Banach space. Further, we give an example of a Banach space X for which the answer to Pelczynski's question is negative.

We employ the following notations. \mathbb{R} and \mathbb{N} will denote the set of all real numbers and the set of all positive integers, respectively. Let X be a Banach space, $x \in X$, $r > 0$. $B(x, r)$ will denote the closed ball in X with center x and radius r . A set-valued function f from a topological space S into 2^X is called upper Hausdorff semicontinuous (u.H.s.c.) respectively lower Hausdorff semicontinuous (l.H.s.c.) if for every $s_0 \in S$ and every $\epsilon > 0$ there is a neighborhood U of s_0 such that for every $s \in U$ we have $\sup_{w \in f(s)} \text{dist}(x, f(s_0)) \leq \epsilon$ respectively $\sup_{w \in f(s_0)} \text{dist}(x, f(s)) \leq \epsilon$. The function f is Hausdorff continuous (H.c) if f is both u.H.s.c. and l.H.s.c. The function f is u.s.c. respectively l.s.c. if it is upper semicontinuous respectively lower semicontinuous in the usual sense (cf. [18, 20]). A Banach space X is said to be locally uniformly convex (l.u.c.) if for every $x \in X$ with $\|x\| = 1$ and every sequence $\{y_n\} \subset X$ with $\lim \|y_n\| \leq 1$, $\lim \|(x + y_n)/2\| \geq 1$ implies $\lim \|x - y_n\| = 0$. X is said to be uniformly convex in every direction (u.c.e.d.) (cf., e.g., [6, 8]) if for every $\epsilon > 0$ and every $z \in X$ there is a $\delta > 0$ such that $\|x_1\| = \|x_2\| = 1$, $x_1 - x_2 = \lambda z$ for some $\lambda \in \mathbb{R}$ and $\|(x_1 + x_2)/2\| \geq 1 - \delta$ implies $|\lambda| \leq \epsilon$. All Banach spaces in this paper are real.

2. SEMICONTINUITY OF cent_V

In this section we study the upper and lower Hausdorff semicontinuity of cent_V . To avoid ad hoc proofs and to simplify the exposition the following definition appears useful.

DEFINITION. Let X be a Banach space, \mathfrak{A} a class of closed bounded subsets of X , V a closed subset of X . The pair (V, \mathfrak{A}) is said to have the property P_1 if for every $F \in \mathfrak{A}$ and every $\epsilon > 0$ there is a $\delta > 0$ such that for every $x \in \bigcap_{y \in F} B(y, \text{rad}_V(F) + \delta) \cap V$ we have $\text{dist}(x, \bigcap_{y \in F} B(y, \text{rad}_V(F)) \cap V) < \epsilon$.

The pair (V, \mathfrak{A}) is said to have the property P_2 if it has the property P_1 such that $\delta > 0$ can be chosen independently on $F \in \mathfrak{A}$. We use the convention $\text{dist}(x, \emptyset) = +\infty$ here.

Now, we give some examples.

PROPOSITION 1. *Let X be an arbitrary Banach space, V a finite-dimensional closed convex subset of X , \mathfrak{A} the class of all bounded, closed, non-empty subsets of X . Then the pair (V, \mathfrak{A}) has the property P_1 .*

The proof is easy and is left to the reader. To prove Proposition 2 we need the following lemma. Its proof may be found in [12].

LEMMA. *Let $\{x_n\} \subset l_1$ be a sequence weakly* converging to 0. Let $y \in l_1$. Then for every $\epsilon > 0$ there is an $n_0 \in \mathbb{N}$ such that $n \geq n_0$ implies $|\|x_n - y\| - \|x_n\| - \|y\|| < \epsilon$.*

PROPOSITION 2. *Let $X = l_1$. Let V be a w^* -closed convex subset of X , \mathfrak{A} the class of all bounded closed non-empty subsets of X . Then the pair (V, \mathfrak{A}) has the property P_1 .*

Proof. Assume the contrary. Then there is an $\epsilon_0 > 0$ and a set $F \in \mathfrak{A}$ such that for every $n \in \mathbb{N}$ there exists an element $z_n \in V$ such that $z_n \in \bigcap_{y \in F} B(y, \text{rad}_V(F) + 1/n)$ and $\text{dist}(z_n, \bigcap_{y \in F} B(y, \text{rad}_V(F)) \cap V) \geq \epsilon_0$. Without loss of generality we may assume $w^* - \lim z_n = 0$. It is impossible that $\lim \|z_n\| = 0$, so $\eta_0 = \limsup \|z_n\| > 0$. For every $y \in F$ we obviously have $\limsup \|y - z_n\| \leq \text{rad}_V(F)$. Let $\epsilon > 0$ be given. Then for every $n \in \mathbb{N}$ sufficiently big we have $\|z_n - y\| < \text{rad}_V(F) + \epsilon/3$ and, by the previous lemma, $|\|z_n - y\| - \|z_n\| - \|y\|| < \epsilon/3$. On the other hand there is a subsequence $\{z_{n_k}\}$ with $\|z_{n_k}\| \geq \eta_0 - (\epsilon/3)$ for each $k \in \mathbb{N}$. Thus for every $y \in F$ and suitable $k \in \mathbb{N}$ we have

$$\begin{aligned} \|y\| &\leq \|z_{n_k} - y\| - \|z_{n_k}\| + \epsilon/3 \\ &\leq \text{rad}_V(F) + 2\epsilon/3 - \|z_{n_k}\| \leq \text{rad}_V(F) - \eta_0 + \epsilon. \end{aligned}$$

Since $\epsilon > 0$ has been arbitrary we have $\|y\| \leq \text{rad}_V(F) - \eta_0$ for every $y \in F$. This, however, implies $B(0, \eta_0) \subset B(y, \text{rad}_V(F))$ for every $y \in F$. Thus $B(0, \eta_0) \cap V \subset \bigcap_{y \in F} B(y, \text{rad}_V(F)) \cap V$. But $\lim \text{dist}(z_n, B(0, \eta_0) \cap V) = 0$. A contradiction.

A closed subspace V of a Banach space X is called an M -ideal if there exists a projection P on the dual X^* of X onto V^\perp , the annihilator of V , such that for every $u \in X^*$ we have $\|u\| = \|Pu\| + \|u - Pu\|$. The concept of an M -ideal has been introduced and studied in [1] (cf. also [2, 7, 9]). It has been shown in [13] that $\text{cent}_V(F) \neq \emptyset$ for every compact subset F of a Lindenstrauss space X and every M -ideal V .

PROPOSITION 3. *Let X be a Lindenstrauss space, V an M -ideal in X and \mathfrak{A} the class of all compact non-empty subsets of X . Then the pair (V, \mathfrak{A}) has the property P_2 .*

Proof. Put $\delta = \epsilon$. Let $F \in \mathfrak{A}$, $x \in \bigcap_{y \in F} B(y, \text{rad}_V(F) + \delta) \cap V$. Then obviously $B(x, \delta) \cap B(y, \text{rad}_V(F)) \neq \emptyset$ for every $y \in F$. Since also $\bigcap_{y \in F} B(y, \text{rad}_V(F)) \cap V = \text{cent}_V(F) \neq \emptyset$, the balls $B(y, \text{rad}_V(F))$, $y \in F$, $B(x, \delta)$ intersect pairwise. By a well-known theorem of Lindenstrauss [11] $B(x, \delta) \cap \bigcap_{y \in F} B(y, \text{rad}_V(F)) \neq \emptyset$. Further, each of the above balls intersects V . The rest of the proof follows from the next lemma [13].

LEMMA. *Let X, V and \mathfrak{A} be as in Proposition 3. Let $K \in \mathfrak{A}$, $r > 0$. Assume that $B(x, r) \cap V \neq \emptyset$ for every $x \in K$ and that $\bigcap_{x \in K} B(x, r) \neq \emptyset$. Then $\bigcap_{x \in K} B(x, r) \cap V \neq \emptyset$.*

Garkavi [8] showed that a Banach space X is u.c.e.d. if and only if for every bounded set $F \subset X$ $\text{cent}_X(F)$ consists of at most one element. The same argument with obvious modifications shows that if X is strictly convex then $\text{cent}_V(F)$ consists of at most one element for every compact set $K \subset X$ and every convex closed set $V \subset X$.

PROPOSITION 4. *Let X be a l.u.c. space. Let V be a closed convex subset of X , \mathfrak{A} the class of all compact non-empty subsets of X . Let $\text{cent}_V(F) \neq \emptyset$ for every $F \in \mathfrak{A}$. Then the pair (V, \mathfrak{A}) has the property P_1 .*

Proof. Assume the contrary. Then there is a compact set $F \subset X$ and an $\epsilon_0 > 0$ such that for every $n \in \mathbb{N}$ there exists an $x_n \in V$ such that $x_n \in \bigcap_{y \in F} B(y, \text{rad}_V(F) + 1/n)$ and $\|x_n - x_0\| \geq \epsilon_0$, where $x_0 = \text{cent}_V(F) = \bigcap_{y \in F} B(y, \text{rad}_V(F)) \cap V$. Put $w_n = (x_n + x_0)/2$, $n \in \mathbb{N}$. Since w_n cannot be in $\text{cent}_V(F)$ for every $n \in \mathbb{N}$ there exists a $y_n \in F$ with $\|w_n - y_n\| > \text{rad}_V(F)$. Without loss of generality we may assume that $\lim y_n = y_0$ for some $y_0 \in F$. For every $n \in \mathbb{N}$ denote $\epsilon_n = \|y_n - y_0\|$. Then we have

$$\|y_0 - w_n\| \geq \|y_n - w_n\| - \|y_n - y_0\| > \text{rad}_V(F) - \epsilon_n$$

and

$$\|y_0 - x_n\| \leq \|y_0 - y_n\| + \|y_n - x_n\| \leq \text{rad}_V(F) + 1/n + \epsilon_n.$$

It follows that for suitable subsequences we have $\|u_0\| \leq \text{rad}_V(F)$, $\lim \|v_k\| \leq \text{rad}_V(F)$ and $\lim \|(u_0 + v_k)/2\| \geq \text{rad}_V(F)$, where $u_0 = y_0 - x_0$, $v_n = y_0 - x_n$, which together with $\|u_0 - v_n\| = \|x_n - x_0\| \geq \epsilon_0$, $n \in \mathbb{N}$, contradicts the assumption that X is locally uniformly convex.

Remark. If X is a uniformly convex Banach space then in the previous proposition \mathfrak{A} can be taken to be the class of all closed bounded non-empty

subsets of X . The proof is similar to that of Proposition 4 and is left to the reader.

The assumptions of Proposition 4 are fulfilled, e.g., for all dual l.u.c. Banach spaces and all w^* -closed convex subsets V of X . This is an immediate consequence of Alaoglu's theorem.

Now, we establish the connection between the properties P_1 and P_2 and the Hausdorff semicontinuity of cent_V .

THEOREM 5. *Let X be a Banach space, V a closed subset of X and \mathfrak{A} a class of bounded closed non-empty subsets of X . If the pair (V, \mathfrak{A}) has the property P_1 then the function cent_V is u.H.s.c. on \mathfrak{A} .*

Proof. Let $F \in \mathfrak{A}$ and $\epsilon > 0$ be given. Take the corresponding $\delta > 0$. It is easy to show that $\text{cent}_V(G) \subset \bigcap_{y \in F} B(y, \text{rad}_V(F) + \delta) \cap V$ for every $G \in \mathfrak{A}$ with $d(F, G) < \delta/2$. Indeed, $x \in G$ implies $\text{dist}(x, F) < \delta/2$. Hence $\text{rad}_V(G) < \text{rad}_V(F) + \delta/2$. Similarly $\text{rad}_V(F) < \text{rad}_V(G) + \delta/2$. Let $y \in F$. Then there is an $x_y \in G$ with $\|y - x_y\| < \delta/2$. For every such pair we have $B(x_y, \text{rad}_V(G)) \subset B(y, \text{rad}_V(G) + \delta/2) \subset B(y, \text{rad}_V(F) + \delta)$. This implies $\text{cent}_V(G) = \bigcap_{x \in G} B(x, \text{rad}_V(G)) \cap V \subset \bigcap_{y \in F} B(x_y, \text{rad}_V(G)) \cap V \subset \bigcap_{y \in F} B(y, \text{rad}_V(F) + \delta) \cap V$. Since the pair (V, \mathfrak{A}) has the property P_1 we have $\text{dist}(x, \text{cent}_V(F)) < \epsilon$ for every $x \in \text{cent}_V(G)$.

THEOREM 6. *If the pair (V, \mathfrak{A}) has the property P_2 then cent_V is H.c. on \mathfrak{A} .*

Proof. Since P_2 implies P_1 we have only to show that cent_V is l.H.s.c. on \mathfrak{A} . Let $F \in \mathfrak{A}$ and $\epsilon > 0$ be given. Take the corresponding $\delta > 0$. It is clear from the proof of Theorem 5 that $\text{cent}_V(F) \subset \bigcap_{y \in G} B(y, \text{rad}_V(G) + \delta) \cap V$ for every $G \in \mathfrak{A}$ with $d(F, G) < \delta/2$. Hence $\text{dist}(x, \text{cent}_V(G)) < \epsilon$ for every such G and every $x \in \text{cent}_V(F)$.

Remark. The property l.H.s.c. is obviously stronger than the usual lower semicontinuity. Thus, by Michael's selection theorem [15], cent_V admits a continuous selection on \mathfrak{A} if the pair (V, \mathfrak{A}) has the property P_2 .

COROLLARY 7. *Let X be a Banach space, V a closed subset of X , \mathfrak{A} a class of bounded closed non-empty subsets of X . Then cent_V is u.H.s.c. on \mathfrak{A} if one of the following conditions is fulfilled.*

- (i) V is convex and finite-dimensional,
- (ii) $X = l_1$ and V is convex and w^* -closed,
- (iii) X is uniformly convex and V is convex,
- (iv) X is a dual l.u.c. Banach space, V is w^* -closed convex and the sets \mathfrak{A} are all compact,

(v) X is a Lindenstrauss space, V is an M -ideal in X and the sets in \mathfrak{A} are all compact. In this case cent_V is even H.c.

Cheney and Wulbert [4] have given an example of a Chebyshev subspace V of l_1 for which the metric projection P_V is discontinuous. The subspace V of their example is obviously not w^* -closed. Since the metric projection coincides with cent_V on the class of all singletons $\{f\} \subset l_1$ the next result follows from Corollary 7(ii).

COROLLARY 8. *Let V be a w^* -closed Chebyshev subspace of l_1 . Then the metric projection P_V is continuous.*

Remark. Since each of the assumptions (iii) and (iv) of Corollary 7 implies that $\text{cent}_V(F)$ consists of exactly one element for every bounded set $F \subset X$, it follows from Corollary 7 that in both these cases cent_V is continuous on \mathfrak{A} .

3. PROXIMALITY OF STONE-WEIERSTRASS SUBSPACES

Let S and T be compact Hausdorff spaces, $\varphi: S \rightarrow T$ a continuous surjection, $V = \{f \in C(S, X); f = g \circ \varphi \text{ for some } g \in C(T, X)\}$. For every $f \in C(S, X)$ denote $\Phi_f(t) = \{f(s); s \in \varphi^{-1}(t)\}$, $t \in T$. The following theorem gives a sufficient condition for the existence of a best approximation in V .

THEOREM 9. *Let V be a SW-subspace of $C(S, X)$ such that the corresponding function φ is open. Let $f \in C(S, X)$. If cent_X admits a continuous selection on the class $\mathcal{K}(X)$ of all non-empty compact subsets of X then there exists a best approximation of f in V .*

Proof. It is easy to see that $\text{dist}(f, V) \geq \sup_{t \in T} \text{rad}_X(\Phi_f(t))$. Olech [16] showed that Φ_f is a u.s.c. function which implies that Φ_f is u.H.s.c. It is easy to show that Φ_f is l.s.c. Indeed, let $t_0 \in T$, $x = f(s) \in \Phi_f(t_0)$ and $\epsilon > 0$ be given. Then there is an open neighborhood U of s with $f(s') \subset B(x, \epsilon)$ for every $s' \in U$. It follows that $\Phi_f(t) \cap B(x, \epsilon) \neq \emptyset$ for every point $t \in U' = \varphi(U)$. Since $\Phi_f(t)$ is compact for every $t \in T$ Φ_f is l.H.s.c.

Now, let $h: \mathcal{K}(X) \rightarrow X$ be a continuous selection of cent_X . Define $g = h \circ \Phi_f \circ \varphi$. The function g is obviously continuous and we have

$$\begin{aligned} \|f - g\| &= \sup_{s \in S} \|f(s) - g(s)\| = \sup_{t \in T} \sup_{s \in \varphi^{-1}(t)} \|f(s) - h \circ \Phi_f(t)\| \\ &= \sup_{t \in T} \text{rad}_X(\Phi_f(t)). \end{aligned}$$

Thus g is a best approximation of f in V .

COROLLARY 10. *Let X be a dual l.u.c. Banach space. Then every SW-subspace of $C(S, X)$ for which the corresponding φ is open is proximal.*

We do not know whether in Theorem 9 and Corollary 10 the assumption that φ is open may be dropped. Nor do we know whether the condition that cent_X has a continuous selection is necessary for the proximality of SW-subspaces. The following theorem gives a necessary condition for the proximality of such subspaces.

THEOREM 11. *Let F be a compact set in a Banach space X for which $\text{cent}_X(F) = \emptyset$. Then there is a compact Hausdorff space S and an SW-subspace of $C(S, X)$ which is not proximal.*

Proof. Put $S = F$. Let $T = \{t\}$ be an arbitrary one point set. Put $\varphi(s) = t$ for every $s \in S$. Let $f \in C(S, X)$ be the identity map. We obviously have $\text{dist}(f, V) = \text{rad}_X(F)$. Let $g = h \circ \varphi \in V$ for any $h \in C(T, X)$. Since $\text{cent}_X = \emptyset$ we have $\sup_{s \in S} \|f(s) - g(s)\| = \sup_{s \in S} \|f(s) - h(t)\| > \text{rad}_X(F)$. It follows that g cannot be a best approximation of f .

Garkavi [8] has given an example of a Banach space X and a three-point subset F of X with $\text{cent}_X(F) = \emptyset$. This, together with Theorem 11 provides an example of a space $C(S, X)$ with an SW-subspace which is not proximal.

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